

## Queensland Centre for Mental Health Learning

### QC14/QC34 Fundamentals of Assessment, Formulation and Planning – Online assessment marking criteria – **For participants**

It is an expectation that all registered mental health and alcohol and other drug clinicians who participate in this workshop will complete the assessment that addresses the *learning outcomes* of the course (see outcomes below).

1. Identify questions that elicit quality clinical information
2. Identify information relevant to a comprehensive assessment with a focus on understanding the reason for the presentation (identify all relevant 5P factors)
3. Write a formulation, based on own clinical knowledge and all relevant 5P factors gathered
4. Develop and document a management plan based on the formulation.

#### Assessment Part 1

Multiple choice		Marks				Weighting
Identify quality clinical information	Marking criteria	0	1	2	3	
1. Information gathering	<b>Four multiple choice questions</b> Candidate must correctly identify: <ul style="list-style-type: none"> <li>• historical clinical documentation to understand current presentation</li> <li>• areas of the comprehensive assessment</li> <li>• sources of collateral</li> <li>• priority risk domains of case scenario.</li> </ul>	Auto marked				
2. Understanding the reason for a mental health presentation using the 5P approach.	<b>Six multiple choice questions</b> Candidate must identify 2 factors for each: <ul style="list-style-type: none"> <li>• Presenting issues</li> <li>• Predisposing factors</li> <li>• Precipitating factors</li> <li>• Protective factors</li> <li>• Perpetuating factors</li> <li>• The purpose of a formulation (comprehensive care).</li> </ul>	Auto marked				
<b>You must achieve 100% correct to pass and progress to Part 2.</b>	10 questions in <b>Total</b>					<b>10 marks</b>

## Assessment Part 2 – Section 1

Short Answer		Marks						Weighting
Outcome assessed	Marking criteria	0	1	2	3	4	5	
Write a formulation, based on own clinical knowledge and all relevant 5P factors gathered	<b>PRESENTING</b> Candidate to develop a formulation based on <b>presenting</b> factors - minimum of 2 factors.	No formulation was provided, or formulation did not include any relevant <b>presenting</b> factors.	Listed 2 relevant presenting factors.	Included a basic list of the presenting issues AND/OR included multiple irrelevant factors.	Provided a targeted (key issues) and accurate description of the presenting factors. (some irrelevant material may be included).	Includes an accurate description of the presenting issues that provides a key focus for the formulation.	Comprehensive, accurate and includes integration (i.e. risk summary) and gives key focus for formulation. Includes person's strengths/identity.	5
	<b>PREDISPOSING</b> Candidate to develop a formulation based on <b>predisposing</b> factors - minimum of 2 factors.	No formulation was provided, or formulation did not include any relevant <b>predisposing</b> factors.	Listed 2 relevant predisposing factors.	Includes a basic list of the predisposing factors AND/OR included multiple irrelevant factors.	Provided an accurate description of the predisposing issues (limited/no synthesis). (some irrelevant material may be included).	Provided an accurate description of predisposing factors with some synthesis evident.	Provides a comprehensive description of predisposing issues with synthesis evident to explain presenting issue.	5
	<b>PRECIPITATING</b> Candidate to develop a formulation based on <b>precipitating</b> factors - minimum of 2 factors.	No formulation was provided, or less than two relevant <b>precipitating</b> factors.	Listed 2 relevant precipitating factor.	Includes a basic list of the precipitating factors AND/OR included multiple irrelevant factors.	Provided an accurate description of most of the precipitating issues (limited/no synthesis). (some irrelevant material may be included).	Describes relevant precipitating factors including some of the interrelationship between other factors & the impact on the consumer.	A comprehensive and accurate description of <b>precipitating</b> factors with an interpretation/ synthesis of how precipitating factors trigger the presenting problem.	5
	<b>PERPETUATING</b> Candidate to develop a formulation based on <b>perpetuating</b> factors- minimum of 2 factors.	No formulation was provided, or less than two relevant <b>perpetuating</b> factors identified.	Listed 2 relevant perpetuating factor.	Includes a basic list of the perpetuating factors AND/OR included multiple irrelevant factors.	Provided an accurate description of most of the perpetuating issues (limited/no synthesis). (some irrelevant material may be included).	Formulation includes multiple relevant, perpetuating factors with some description of how they maintain the current presenting problems.	A comprehensive and accurate description of <b>perpetuating</b> factors which explains how the factors maintain the presenting issues. Must include Simone's lack of insight.	5
	<b>PROTECTIVE</b> Candidate to develop a formulation based on <b>protective</b> factors - minimum of 2 factors.	No formulation was provided, or less than one relevant <b>protective</b> factors.	Listed 2 relevant protective factors.	Includes a basic list of the protective factors AND/OR included multiple irrelevant factors.	Provided an accurate description of most of the protective factors (limited/no synthesis). (some irrelevant material may be included).	Formulation includes multiple relevant, protective factors with some description of how they act protectively for the consumer.	A comprehensive and accurate description of <b>protective</b> factors which explains how the factors mitigate the presenting issues.	5
	Candidate to provide an overall clinical impression based on the 5P Formulation	No clinical impression provided.	Clinical impression provided which was relevant.	Clinical impression provided was relevant and summarised key issues.	N/A	N/A	N/A	2
<b>Subtotal marks Section 1</b>								<b>27</b>

## Assessment Part 2 – Section 2 - Management Planning

Short answer		Marks				Weighting
Outcome assessed		0	1	2	3	
Develop and document an immediate and longer-term management plan linked to the clinical formulation and recovery plan. Candidate must identify 2 priority <b>immediate</b> management strategies.	Q2.1 <b>Strategy 1</b>	No relevant <b>immediate</b> management strategy identified	An <b>immediate</b> management strategy was identified (link to the formulation is unclear) or the strategy is not an immediate priority	A relevant <b>immediate</b> management strategy was identified	A relevant <b>immediate</b> management strategy was identified and written in SMART goal format (Does not need to evidence all SMART principles)	3
	Q2.2 Candidate must provide rationale for choosing the relevant <b>immediate</b> strategy.	No rationale was provided, or rationale not relevant	Vague rationale provided for the strategy or why it is an immediate priority	Rational partly justifies strategy with links to the formulation	Clear rationale for the immediate strategy with explicit links to the formulation is provided	3
	Q2.3 <b>Strategy 2</b>	No relevant <b>immediate</b> management strategies identified	An <b>immediate</b> management strategy was identified (link to the formulation is unclear) or strategy is not an immediate priority	A relevant <b>immediate</b> management strategy was identified	A relevant <b>immediate</b> management strategy was identified and written in SMART goal format (Does not need to evidence all SMART principles)	3
	Qe2.4 Candidate must provide rationale for choosing the relevant <b>immediate</b> strategy.	No rationale was provided, or rationale not relevant.	Vague rationale provided for the strategy or why it is an immediate priority.	Rational partly justifies strategy with links to the formulation.	Clear rationale for the immediate strategy with explicit links to the formulation is provided.	3
<b>Longer term strategies</b>						
<b>Strategy 1</b> Candidate is awarded one mark for their response to each item.	Provide one relevant long-term strategy?	No relevant <b>longer-term</b> management strategy identified.	Relevant <b>longer-term</b> management strategy identified	N/A	N/A	1
	Describe how this links to Simone's recovery plan?	No link to recovery plan established.	Link adequately established to the recovery plan.	N/A	N/A	1
	Describe how this links to the formulation you have written for Simone?	No link to the formulation established.	Link adequately established to the formulation.	N/A	N/A	1

Short answer		Marks				Weighting
Outcome assessed		0	1	2	3	
Strategy 2	Provide one relevant long-term strategy?	No relevant <b>longer-term</b> management strategy identified.	Relevant <b>longer-term</b> management strategy identified.	N/A	N/A	1
	Describe how this links to Simone's recovery plan?	No link to recovery plan established.	Link adequately established to the recovery plan.	N/A	N/A	1
	Describe how this links to the formulation you have written for Simone?	No link to the formulation established.	Link adequately established to the formulation.	N/A	N/A	1
<b>Subtotal marks - Section 2</b>						<b>18</b>
<b>You must achieve 80% or greater for Part 2 (Section 1 and 2)= (36/45)</b>					<b>Total score</b>	<b>/45</b>